DETAILED MODEL PLAN (LIHEAP)

Program Name: Low Income Home Energy Assistance
Grantee Name: CITIZEN BAND POTAWATOMI INDIANS OF OKLAHOMA
Report Name: DETAILED MODEL PLAN (LIHEAP) Revision #1
Report Period: 10/01/2019 to 09/30/2020
Report Status: Submitted (Revision #1)

Report Sections

1. Mandatory Grant Application SF-424
2. Section 1 - Program Components
3. Section 2 - HEATING ASSISTANCE
4. Section 3 - COOLING ASSISTANCE
5. Section 4 - CRISIS ASSISTANCE
6. Section 5 - WEATHERIZATION ASSISTANCE
7. Section 6 - Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)
8. Section 7 - Coordination, 2605(b)(4) - Assurance 4
9. Section 8 - Agency Designation, 2605(b)(6) - Assurance 6
10. Section 9 - Energy Suppliers, 2605(b)(7) - Assurance 7
11. Section 10 - Program, Fiscal Monitoring, and Audit, 2605(b)(10) - Assurance 10
12. Section 11 - Timely and Meaningful Public Participation, 2605(b)(12) - Assurance 12, 2605(c)(2)
13. Section 12 - Fair Hearings, 2605(b)(13) - Assurance 13
14. Section 13 - Reduction of home energy needs, 2605(b)(16) - Assurance 16
15. Section 14 - Leveraging Incentive Program, 2607A
16. Section 15 - Training
17. Section 16 - Performance Goals and Measures, 2605(b)
18. Section 17 - Program Integrity, 2605(b)(10)
19. Section 18: Certification Regarding Debarment, Suspension, and Other Responsibility Matters
20. Section 19: Certification Regarding Drug-Free Workplace Requirements
21. Section 20: Certification Regarding Lobbying
22. Assurances
23. Plan Attachments
LOW INCOME HOME ENERGY ASSISTANCE PROGRAM (LIHEAP) MODEL PLAN
SF - 424 - MANDATORY

* 1.a. Type of Submission: Plan
* 1.b. Frequency: Annual
* 1.c. Consolidated Application / Plan / Funding Request?
Explanation:
* 1.d. Version:
   ○ Initial
   ○ Resubmission
   ○ Revision
   ○ Update

2. Date Received:
   State Use Only:
3. Applicant Identifier:
   State Use Only:
4a. Federal Entity Identifier:
4b. Federal Award Identifier:
5. Date Received By State:
6. State Application Identifier:

7. APPLICANT INFORMATION

* a. Legal Name: Citizen Potawatomi Nation
* b. Employer / Taxpayer Identification Number (EIN / TIN):
  730945447
* c. Organizational DUNS: 053435525

*d. Address:
   * Street 1: 1901 S. GORDON COOPER DRIVE
   * City: SHAWNEE
   * State: OK
   * Country: United States
   * Zip / Postal Code: 74801

*e. Organizational Unit:
   Department Name: Workforce & Social Services
   Division Name:

*f. Name and contact information of person to be contacted on matters involving this application:
   Prefix: * First Name: Margaret
   Suffix: * Last Name: Zientek
   Title: Assistant Director
   Organizational Affiliation:
   Fax Number: 405-273-1752
   * Email: mzientek@potawatomi.org

* 8a. TYPE OF APPLICANT:
   K: Indian / Native American Tribally Designated Organization
   b. Additional Description:
   * 9. Name of Federal Agency:

   Catalog of Federal Domestic Assistance Number: 93568
   CFDA Title: Low-Income Home Energy Assistance

10. CFDA Numbers and Titles
11. Descriptive Title of Applicant's Project
   Citizen Potawatomi Nation Workforce & Social Services LIHEAP
12. Areas Affected by Funding:
   Counties of Pottawatomie, Lincoln, Payne, Cleveland, and Oklahoma Count-East of Post Road
13. CONGRESSIONAL DISTRICTS OF:

<table>
<thead>
<tr>
<th>a. Applicant</th>
<th>05</th>
</tr>
</thead>
<tbody>
<tr>
<td>b. Program/Project:</td>
<td>LIHEAP-statewide</td>
</tr>
</tbody>
</table>

Attach an additional list of Program/Project Congressional Districts if needed. Counties of Pottawatomie, Lincoln, Payne, Cleveland, Seminole, and Oklahoma east of Post Road

14. FUNDING PERIOD:

| a. Start Date: | 10/01/2019 |
| b. End Date: | 09/30/2020 |

15. ESTIMATED FUNDING:

| a. Federal ($) | $0 |
| b. Match ($) | $0 |

16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?

- a. This submission was made available to the State under the Executive Order 12372
- b. Program is subject to E.O. 12372 but has not been selected by State for review.
- c. Program is not covered by E.O. 12372.

17. Is The Applicant Delinquent On Any Federal Debt?

- YES
- NO

Explanation:

18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

**I Agree

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

<table>
<thead>
<tr>
<th>18a. Typed or Printed Name and Title of Authorized Certifying Official</th>
<th>18c. Telephone (area code, number and extension)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Margaret Zientek</td>
<td>(405) 878-3854</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>18d. Email Address</th>
<th>18b. Signature of Authorized Certifying Official</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="mailto:mzientek@potawatomi.org">mzientek@potawatomi.org</a></td>
<td>18e. Date Report Submitted (Month, Day, Year)</td>
</tr>
<tr>
<td>----------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>(405) 878-3854</td>
<td>10/09/2019</td>
</tr>
</tbody>
</table>

Attach supporting documents as specified in agency instructions.
Section 1 - Program Components

Program Components, 2605(a), 2605(b)(1) - Assurance 1, 2605(c)(1)(C)

1.1 Check which components you will operate under the LIHEAP program.
(Note: You must provide information for each component designated here as requested elsewhere in this plan.)

<table>
<thead>
<tr>
<th>Component</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heating assistance</td>
<td>10/01/2019</td>
<td>04/30/2020</td>
</tr>
<tr>
<td>Cooling assistance</td>
<td>05/01/2020</td>
<td>09/30/2020</td>
</tr>
<tr>
<td>Crisis assistance</td>
<td>10/01/2019</td>
<td>09/30/2020</td>
</tr>
<tr>
<td>Weatherization assistance</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Provide further explanation for the dates of operation, if necessary

Estimated Funding Allocation, 2604(C), 2605(k)(1), 2605(b)(9), 2605(b)(16) - Assurances 9 and 16

1.2 Estimate what amount of available LIHEAP funds will be used for each component that you will operate: The total of all percentages must add up to 100%.

<table>
<thead>
<tr>
<th>Component</th>
<th>Percentage (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heating assistance</td>
<td>30.00%</td>
</tr>
<tr>
<td>Cooling assistance</td>
<td>30.00%</td>
</tr>
<tr>
<td>Crisis assistance</td>
<td>17.00%</td>
</tr>
<tr>
<td>Weatherization assistance</td>
<td>0.00%</td>
</tr>
<tr>
<td>Carryover to the following federal fiscal year</td>
<td>8.00%</td>
</tr>
<tr>
<td>Administrative and planning costs</td>
<td>10.00%</td>
</tr>
<tr>
<td>Services to reduce home energy needs including needs assessment (Assurance 16)</td>
<td>5.00%</td>
</tr>
</tbody>
</table>
Alternate Use of Crisis Assistance Funds, 2605(c)(1)(C)

1.3 The funds reserved for winter crisis assistance that have not been expended by March 15 will be reprogrammed to:

- [ ] Heating assistance
- [x] Cooling assistance
- [ ] Weatherization assistance
- [ ] Other (specify:) Year Around Crisis

Categorical Eligibility, 2605(b)(2)(A) - Assurance 2, 2605(c)(1)(A), 2605(b)(8A) - Assurance 8

1.4 Do you consider households categorically eligible if one household member receives one of the following categories of benefits in the left column below?

- [ ] Yes
- [ ] No

If you answered "Yes" to question 1.4, you must complete the table below and answer questions 1.5 and 1.6.

<table>
<thead>
<tr>
<th>Program Name</th>
<th>Heating</th>
<th>Cooling</th>
<th>Crisis</th>
<th>Weatherization</th>
</tr>
</thead>
<tbody>
<tr>
<td>TANF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SSI</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SNAP</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Means-tested Veterans Programs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other(Specify) 1 Tribal USDA FDPIR (commodities)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other(Specify) 2 USDA WIC (Women, Infants, &amp; Children)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.5 Do you automatically enroll households without a direct annual application?

- [ ] Yes
- [ ] No

If Yes, explain:

n/a

1.6 How do you ensure there is no difference in the treatment of categorically eligible households from those not receiving other public assistance when determining eligibility and benefit amounts?

Each applicant is required to provide documentation of income. A payment benefit matrix is used to calculate benefits to be paid.

SNAP Nominal Payments

1.7a Do you allocate LIHEAP funds toward a nominal payment for SNAP households?

- [ ] Yes
- [ ] No

If you answered "Yes" to question 1.7a, you must provide a response to questions 1.7b, 1.7c, and 1.7d.

1.7b Amount of Nominal Assistance: $0.00

1.7c Frequency of Assistance

- [ ] Once Per Year
- [ ] Once every five years
- [ ] Other - Describe:

1.7d How do you confirm that the household receiving a nominal payment has an energy cost or need?

Determination of Eligibility - Countable Income

1.8. In determining a household’s income eligibility for LIHEAP, do you use gross income or net income?

- [ ] Gross Income
- [x] Net Income

1.9. Select all the applicable forms of countable income used to determine a household’s income eligibility for LIHEAP

- [x] Wages
- [x] Self - Employment Income
<table>
<thead>
<tr>
<th>Income Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contract Income</td>
</tr>
<tr>
<td>Payments from mortgage or Sales Contracts</td>
</tr>
<tr>
<td>Unemployment insurance</td>
</tr>
<tr>
<td>Strike Pay</td>
</tr>
<tr>
<td>Social Security Administration (SSA) benefits</td>
</tr>
<tr>
<td>Including Medicare deduction</td>
</tr>
<tr>
<td>Excluding Medicare deduction</td>
</tr>
<tr>
<td>Supplemental Security Income (SSI)</td>
</tr>
<tr>
<td>Retirement / pension benefits</td>
</tr>
<tr>
<td>General Assistance benefits</td>
</tr>
<tr>
<td>Temporary Assistance for Needy Families (TANF) benefits</td>
</tr>
<tr>
<td>Supplemental Nutrition Assistance Program (SNAP) benefits</td>
</tr>
<tr>
<td>Women, Infants, and Children Supplemental Nutrition Program (WIC) benefits</td>
</tr>
<tr>
<td>Loans that need to be repaid</td>
</tr>
<tr>
<td>Cash gifts</td>
</tr>
<tr>
<td>Savings account balance</td>
</tr>
<tr>
<td>One-time lump-sum payments, such as rebates/credits, winnings from lotteries, refund deposits, etc.</td>
</tr>
<tr>
<td>Jury duty compensation</td>
</tr>
<tr>
<td>Rental income</td>
</tr>
<tr>
<td>Income from employment through Workforce Investment Act (WIA)</td>
</tr>
<tr>
<td>Income from work study programs</td>
</tr>
<tr>
<td>Alimony</td>
</tr>
<tr>
<td>Child support</td>
</tr>
<tr>
<td>Interest, dividends, or royalties</td>
</tr>
<tr>
<td>Commissions</td>
</tr>
<tr>
<td>Legal settlements</td>
</tr>
<tr>
<td>Insurance payments made directly to the insured</td>
</tr>
<tr>
<td>Insurance payments made specifically for the repayment of a bill, debt, or estimate</td>
</tr>
<tr>
<td>Item</td>
</tr>
<tr>
<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>Veterans Administration (VA) benefits</td>
</tr>
<tr>
<td>Earned income of a child under the age of 18</td>
</tr>
<tr>
<td>Balance of retirement, pension, or annuity accounts where funds cannot be withdrawn without a penalty.</td>
</tr>
<tr>
<td>Income tax refunds</td>
</tr>
<tr>
<td>Stipends from senior companion programs, such as VISTA</td>
</tr>
<tr>
<td>Funds received by household for the care of a foster child</td>
</tr>
<tr>
<td>Ameri-Corp Program payments for living allowances, earnings, and in-kind aid</td>
</tr>
<tr>
<td>Reimbursements (for mileage, gas, lodging, meals, etc.)</td>
</tr>
<tr>
<td>Other</td>
</tr>
</tbody>
</table>

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 2 - Heating Assistance

**Eligibility, 2605(b)(2) - Assurance 2**

2.1 Designate the income eligibility threshold used for the heating component:

<table>
<thead>
<tr>
<th>Add</th>
<th>Household size</th>
<th>Eligibility Guideline</th>
<th>Eligibility Threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>State Median Income</td>
<td>60.00%</td>
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<tr>
<td>3</td>
<td>3</td>
<td>State Median Income</td>
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<td>State Median Income</td>
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<td>State Median Income</td>
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<td>6</td>
<td>6</td>
<td>State Median Income</td>
<td>60.00%</td>
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<td>7</td>
<td></td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
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<tr>
<td>15</td>
<td></td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
</tbody>
</table>

2.2 Do you have additional eligibility requirements for HEATING ASSISTANCE?  
- [ ] Yes  - [ ] No

2.3 Check the appropriate boxes below and describe the policies for each.

- Do you require an Assets test?  
  - [ ] Yes  - [ ] No

- Do you have additional/differing eligibility policies for:
  - Renters?  
    - [ ] Yes  - [ ] No
  - Renters Living in subsidized housing?  
    - [ ] Yes  - [ ] No
  - Renters with utilities included in the rent?  
    - [ ] Yes  - [ ] No

- Do you give priority in eligibility to:
  - Elderly?  
    - [ ] Yes  - [ ] No
  - Disabled?  
    - [ ] Yes  - [ ] No
  - Young children?  
    - [ ] Yes  - [ ] No
  - Households with high energy burdens?  
    - [ ] Yes  - [ ] No
  - Other? Veterans/ Active Duty Military  
    - [ ] Yes  - [ ] No

**Explanations of policies for each "yes" checked above:**

- Elderly - 55 or older; Disabled-self id, parking hang tag, document indicating disability (medical or federal such as social security, veterans benefit or pay stub, etc.); Young Child- document indicating birthdate such as birth certificate, tribal id, shot record, etc. Matrix assigns points based on income range, fuel type (electric, gas, propane, wood); size of dwelling / Number of bedrooms; Veterans or active Military- documentation indicating military service.
2.4 Describe how you prioritize the provision of heating assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

Outreach is targeted directly to elder housing & nutrition programs; to households who may have minor children - WIC, Child Development Center, Johnson O'Malley Education households, Workforce & Social Service participants, Indian Child Welfare, Domestic Violence, Family Preservation, Foster Care, Community Health Representatives, Tribal Transit, Tribal Court, etc. Benefit amounts are adjusted by giving additional points for each time an elder, disabled, young child, or veteran is in the household. Additional points are allocated to address high energy burden - ie lower the income, more points, more bedrooms / larger home = more points.

2.5 Check the variables you use to determine your benefit levels. (Check all that apply):

- **Income**
- **Family (household) size**
- **Home energy cost or need:**
  - **Fuel type**
  - **Climate/region**
  - **Individual bill**
  - **Dwelling type**
  - **Energy burden (% of income spent on home energy)**
  - **Energy need**
  - **Other - Describe:**

Income level 4 to 1 points - lowest income is 4 points. Family size - 1 point per household member; Home energy burden / need is addressed thru 2 parts. Type of fuel and number of bedrooms. Special conditions are awarded 1 point per qualified category per occurrence - Elder, Disabled, Child Under 6, Veteran/Active Military, or Crisis.

### Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

<table>
<thead>
<tr>
<th>Minimum Benefit</th>
<th>Maximum Benefit</th>
</tr>
</thead>
<tbody>
<tr>
<td>$100</td>
<td>$500</td>
</tr>
</tbody>
</table>

2.6 Describe estimated benefit levels for FY 2020:

2.7 Do you provide in-kind (e.g., blankets, space heaters) and/or other forms of benefits? **Yes** ☑ **No** ☐

If yes, describe.

During Winter season, we offer space heaters for supplemental heating source. In crisis situations, blankets may also be offered plus location of nearby warming stations. In rare situations, a generator may be offered on a limited loaner basis.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 3 - Cooling Assistance

#### Eligibility, 2605(c)(1)(A), 2605(b)(2) - Assurance 2

3.1 Designate The income eligibility threshold used for the Cooling component:

<table>
<thead>
<tr>
<th>Add</th>
<th>Household size</th>
<th>Eligibility Guideline</th>
<th>Eligibility Threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
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<td>HHS Poverty Guidelines</td>
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<td>HHS Poverty Guidelines</td>
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</tr>
<tr>
<td>15</td>
<td>15</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
</tbody>
</table>

3.2 Do you have additional eligibility requirements for COOLING ASSISTANCE?  
- [ ] Yes  - [ ] No

3.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test?  
- [ ] Yes  - [ ] No

Do you have additional/differing eligibility policies for:
- Renters?  
  - [ ] Yes  - [ ] No
- Renters Living in subsidized housing?  
  - [ ] Yes  - [ ] No
- Renters with utilities included in the rent?  
  - [ ] Yes  - [ ] No

Do you give priority in eligibility to:
- Elderly?  
  - [ ] Yes  - [ ] No
- Disabled?  
  - [ ] Yes  - [ ] No
- Young children?  
  - [ ] Yes  - [ ] No
- Households with high energy burdens?  
  - [ ] Yes  - [ ] No
- Other? Veteran / Active Military  
  - [ ] Yes  - [ ] No

Explanations of policies for each "yes" checked above:

Elderly: Age 55 or older; Disabled: self id, parking hang tag, document indicating disability (medical, Federal etc.) Young child - legal document indicating birthdate such as birth certificate, tribal id, shot record, etc. Points are assigned based on income range, fuel type, size of dwelling / number of bedrooms. Veterans - documentation indicating military service.
3.4 Describe how you prioritize the provision of cooling assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

Outreach is directly to elder housing & nutrition programs, to households that might hold a minor child such as WIC, Child Development Center, JOM Edu Households, Workforce & Social Services, Indian Child Welfare, Foster Care, Domestic Violence, Tribal Courts, etc.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.5 Check the variables you use to determine your benefit levels. (Check all that apply):

- [ ] Income
- [ ] Family (household) size
- [ ] Home energy cost or need:
  - [ ] Fuel type
  - [ ] Climate/region
  - [ ] Individual bill
  - [ ] Dwelling type
  - [ ] Energy burden (% of income spent on home energy)
  - [ ] Energy need
  - [ ] Other - Describe:

Income level 4 points to 1 point with lowest income receiving more points. Family size - 1 point per household member; home energy burden / need - points are assigned by fuel type; dwelling - 1 point per bedroom; Special Conditions are assigned 1 point per qualified person or condition - Elder, Disabled, Child Under 6, Veteran / Active Military, and 1 point for crisis situation.

Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.6 Describe estimated benefit levels for FY 2020:

| Minimum Benefit | $100 | Maximum Benefit | $500 |

3.7 Do you provide in-kind (e.g., fans, air conditioners) and/or other forms of benefits? [ ] Yes [ ] No

If yes, describe.

In cooling season, we offer fans & AC Window Units. In 2020, we plan to offer the household the opportunity to keep the unit. They would not be eligible for another unit for two years without proof the unit has died (return dead unit). The assumption is that they have a working unit in their possession AND most units only have a 1 or 2 year warranty and/or life. Units maybe returned to CPN to be cleaned & serviced then returned to inventory for safe storage until the following cooling season. Units that stop working may be exchanged for working units.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 4: CRISIS ASSISTANCE

Eligibility - 2604(c), 2605(c)(1)(A)

<table>
<thead>
<tr>
<th>Add</th>
<th>Household size</th>
<th>Eligibility Guideline</th>
<th>Eligibility Threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
<td>State Median Income</td>
<td>60.00%</td>
</tr>
<tr>
<td>7</td>
<td>7</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>8</td>
<td>8</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>9</td>
<td>9</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>10</td>
<td>10</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>11</td>
<td>11</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>12</td>
<td>12</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>13</td>
<td>13</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>14</td>
<td>14</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
<tr>
<td>15</td>
<td>15</td>
<td>HHS Poverty Guidelines</td>
<td>150.00%</td>
</tr>
</tbody>
</table>

4.1 Designate the income eligibility threshold used for the crisis component

4.2 Provide your LIHEAP program’s definition for determining a crisis.

Utility is pending disconnect or has been disconnected or propane level is below 5% AND any one of the following applies:

- Temperatures are expected to be at or are below freezing within the next 7 day weather forecast for Winter. OR
- For summer, temperature heat index is expected be at or exceed 100 degrees within the next 7 day weather forecast, OR
- Utility is medically necessary; OR
- Rental agreement requires utilities be on in order to maintain rental, or
- Household includes at least one of the ‘special populations’ (elder, disabled, minor under age of 6, or veteran)

4.3 What constitutes a life-threatening crisis?

Any ONE of the following conditions exist:

- State or Tribe has declared a state of disaster; or
- Medical condition that loss of utility or unsafe heat/cold could result in loss of limb or life.

Crisis Requirement, 2604(c)

4.4 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households? 48 Hours

4.5 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households in life-threatening situations? 18 Hours
4.6 Do you have additional eligibility requirements for CRISIS ASSISTANCE?

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elderly?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disabled?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Young Children?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Households with high energy burdens?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other? Veteran / Active Military</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4.7 Check the appropriate boxes below and describe the policies for each

Do you require an Assets test?

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elderly?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disabled?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Young Children?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Households with high energy burdens?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other? Veteran / Active Military</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

In Order to receive crisis assistance:

- Must the household have received a shut-off notice or have a near empty tank?
- Must the household have been shut off or have an empty tank?
- Must the household have exhausted their regular heating benefit?
- Must renters with heating costs included in their rent have received an eviction notice?
- Must heating/cooling be medically necessary?
- Must the household have non-working heating or cooling equipment?
- Other?

Do you have additional / differing eligibility policies for:

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Renters?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Renters living in subsidized housing?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Renters with utilities included in the rent?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Explanations of policies for each "yes" checked above:

- Elderly - Age 55 or older; Disabled - self id, parking hang tag; document indicating disability (medical, federal / social security, etc.);
- Child under 6 - document indicating birthdate such as birth certificate, tribal id, shot record, etc.; points are assigned based on income range; fuel type (electric, gas/propane, wood); size of dwelling number of bedrooms; veterans/ active Military
- NOTE: To receive Crisis assistance the household must be experiencing a cut off or within 48 hours of cutoff or at or below 5% propane PLUS additional criteria referenced in 4.3 above

4.8 How do you handle crisis situations?

- Separate component
- Fast Track
- Other - Describe:

Applications are worked in the date order they are received. If the applicant must self indentify that they are cut off OR within 48 hours of Cut Off. They must self id on the application. The application will then be moved forward in order to work it. Applications which lack required documentation will delay executing benefit assistance.

4.9 If you have a separate component, how do you determine crisis assistance benefits?

- Amount to resolve the crisis.
- Other - Describe:

FOR UTILITY PAYMENTS: Amount to resolve the crisis up to the maximum benefit offered $500 less the regular benefit assistance. (Example: regular benefit $140 plus Crisis $360 = $500 maximum allowed)

FOR APPLIANCE REPAIR OR REPLACEMENT: Maximum of $2000
4.10 Do you accept applications for energy crisis assistance at sites that are geographically accessible to all households in the area to be served?

☐ Yes  ☐ No  Explain.

Applications are made available at various tribal sites that offer assistance to low income households. These include but are not limited to Housing, Indian Child Welfare, Child Development Center, Elder Nutrition Program, Workforce & Social Services. Applicants may be hand delivered, emailed, faxed, or mailed thru postal services.

4.11 Do you provide individuals who are physically disabled the means to:

Submit applications for crisis benefits without leaving their homes?

☐ Yes  ☐ No  If No, explain.

Travel to the sites at which applications for crisis assistance are accepted?

☐ Yes  ☐ No  If No, explain.

If you answered "No" to both options in question 4.11, please explain alternative means of intake to those who are homebound or physically disabled?

Upon request homebound, illiterate, English as a Second Language, or physically disabled will be sent application through an appropriate Counselor, Home Health Professional or other special arrangements will be made to go to the home to assist the individual.

4.12 Indicate the maximum benefit for each type of crisis assistance offered.

<table>
<thead>
<tr>
<th>Type of Crisis</th>
<th>Maximum Benefit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Winter Crisis</td>
<td>$500.00</td>
</tr>
<tr>
<td>Summer Crisis</td>
<td>$500.00</td>
</tr>
<tr>
<td>Year-round Crisis</td>
<td>$2,000.00</td>
</tr>
</tbody>
</table>

4.13 Do you provide in-kind (e.g. blankets, space heaters, fans) and/or other forms of benefits?

☐ Yes  ☐ No  If yes, Describe

In cooling season, we offer fans & AC Window Units. The household may return the unit at the end of the Cooling season. If they chose not to do so, they are not ineligible for unit in the next cooling season. Units are returned to inventory, cleaned/serviced, and stored in secure location until next cooling season. Households that return units that have been abused/ mis-used are ineligible for a replacement unit and/or a unit the following cooling season. Utility assistance is limited to $500 per season. Appliance repair or replacement is limited to $2000 maximum.

4.14 Do you provide for equipment repair or replacement using crisis funds?

☐ Yes  ☐ No

If you answered "Yes" to question 4.14, you must complete question 4.15.

4.15 Check appropriate boxes below to indicate type(s) of assistance provided.

<table>
<thead>
<tr>
<th>Assistance Provided</th>
<th>Winter Crisis</th>
<th>Summer Crisis</th>
<th>Year-round Crisis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heating system repair</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Heating system replacement</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Cooling system repair</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Cooling system replacement</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Wood stove purchase</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Pellet stove purchase</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Solar panel(s)</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Utility poles / gas line hook-ups</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Other (Specify): Propane tanks purchase and/or installations - any assistance is limited to the total Crisis funds available. Unit Repair or replacement maximum is increased to $2,000.</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
</tr>
<tr>
<td>Question 4.16</td>
<td>Do any of the utility vendors you work with enforce a moratorium on shut offs?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------</td>
<td>---------------------------------------------------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If you responded "Yes" to question 4.16, you must respond to question 4.17.

**Question 4.17** Describe the terms of the moratorium and any special dispensation received by LIHEAP clients during or after the moratorium period.

When extreme temperatures are experienced within the State, utility companies providing services will not disconnect services until the extreme temperatures have ended. For example, temperatures at freezing and below 0 °C heating companies usually will not disconnect the services for non-payment until the temperatures have returned to above freezing levels for at least 24 hours. For cooling season, utility companies within the state usually will not disconnect service while temperature indexes are 100 degrees are higher for at least 24 hours. This is in effect by most municipalities or regions within the service area of that utility based on National Weather Service predictions.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 5 - WEATHERIZATION ASSISTANCE

Eligibility, 2605(c)(1)(A), 2605(b)(2) - Assurance 2

5.1 Designate the income eligibility threshold used for the Weatherization component

<table>
<thead>
<tr>
<th>Household Size</th>
<th>Eligibility Guideline</th>
<th>Eligibility Threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>0.00%</td>
</tr>
</tbody>
</table>

5.2 Do you enter into an interagency agreement to have another government agency administer a WEATHERIZATION component?  
- Yes  
- No

5.3 If yes, name the agency.

5.4 Is there a separate monitoring protocol for weatherization?  
- Yes  
- No

WEATHERIZATION - Types of Rules

5.5 Under what rules do you administer LIHEAP weatherization? (Check only one.)

- Entirely under LIHEAP (not DOE) rules
- Entirely under DOE WAP (not LIHEAP) rules
- Mostly under LIHEAP rules with the following DOE WAP rule(s) where LIHEAP and WAP rules differ (Check all that apply):
  - Income Threshold
  - Weatherization of entire multi-family housing structure is permitted if at least 66% of units (50% in 2- & 4-unit buildings) are eligible units or will become eligible within 180 days
  - Other - Describe:

5.6 Do you require an assets test?  
- Yes  
- No

5.7 Do you have additional/differing eligibility policies for:

- Renters  
  - Yes  
  - No

- Renters living in subsidized housing  
  - Yes  
  - No

5.8 Do you give priority in eligibility to:

- Elderly  
  - Yes  
  - No

- Disabled  
  - Yes  
  - No
<table>
<thead>
<tr>
<th>Benefit Levels</th>
</tr>
</thead>
</table>

5.9 Do you have a maximum LIHEAP weatherization benefit/expenditure per household?  
☐ Yes  ☐ No  

5.10 If yes, what is the maximum? $0  

Types of Assistance, 2605(c)(1), (B) & (D)  

5.11 What LIHEAP weatherization measures do you provide? (Check all categories that apply.)

- ☐ Weatherization needs assessments/audits  
- ☐ Energy related roof repair  
- ☐ Caulking and insulation  
- ☐ Major appliance Repairs  
- ☐ Storm windows  
- ☐ Major appliance replacement  
- ☐ Furnace/heating system modifications/ repairs  
- ☐ Windows/sliding glass doors  
- ☐ Furnace replacement  
- ☐ Doors  
- ☐ Cooling system modifications/ repairs  
- ☐ Water Heater  
- ☐ Water conservation measures  
- ☐ Cooling system replacement  
- ☐ Compact florescent light bulbs  
- ☐ Other - Describe:  

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 6: Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

6.1 Select all outreach activities that you conduct that are designed to assure that eligible households are made aware of all LIHEAP assistance available:

- [x] Place posters/flyers in local and county social service offices, offices of aging, Social Security offices, VA, etc.
- [x] Publish articles in local newspapers or broadcast media announcements.
- [ ] Include inserts in energy vendor billings to inform individuals of the availability of all types of LIHEAP assistance.
- [x] Mass mailing(s) to prior-year LIHEAP recipients.
- [x] Inform low income applicants of the availability of all types of LIHEAP assistance at application intake for other low-income programs.
- [ ] Execute interagency agreements with other low-income program offices to perform outreach to target groups.
- [x] Other (specify):
  
  Specific outreach through other service organization that serve pockets of Native American Low Income Households including Elder Nutrition Centers, Community Health Nurses, WIC, Child Development Center, Tribal Housing, Tribal Clinics, etc.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 7: Coordination, 2605(b)(4) - Assurance 4

7.1 Describe how you will ensure that the LIHEAP program is coordinated with other programs available to low-income households (TANF, SSI, WAP, etc.).

- [ ] Joint application for multiple programs
- [ ] Intake referrals to/from other programs
- [ ] One-stop intake centers
- [ ] Other - Describe:

Outreach to the Caseworkers who are assisting potentially eligible applicants.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 8: Agency Designation, 2605(b)(6) - Assurance 6 (Required for state grantees and the Commonwealth of Puerto Rico)

8.1 How would you categorize the primary responsibility of your State agency?

- Administration Agency
- Commerce Agency
- Community Services Agency
- Energy / Environment Agency
- Housing Agency
- Welfare Agency

Other - Describe: not applicable - tribal grantee

Alternate Outreach and Intake, 2605(b)(15) - Assurance 15

If you selected "Welfare Agency" in question 8.1, you must complete questions 8.2, 8.3, and 8.4, as applicable.

8.2 How do you provide alternate outreach and intake for HEATING ASSISTANCE?

Not applicable

8.3 How do you provide alternate outreach and intake for COOLING ASSISTANCE?

Not applicable

8.4 How do you provide alternate outreach and intake for CRISIS ASSISTANCE?

Not applicable

8.5 LIHEAP Component Administration.

<table>
<thead>
<tr>
<th>8.5a Who determines client eligibility?</th>
<th>Heating</th>
<th>Cooling</th>
<th>Crisis</th>
<th>Weatherization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>8.5b Who processes benefit payments to gas and electric vendors?</th>
<th>Heating</th>
<th>Cooling</th>
<th>Crisis</th>
<th>Weatherization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
</tr>
<tr>
<td>Question</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------</td>
<td>-------------------</td>
<td>-------------------</td>
<td>-------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>8.5c Who processes benefit payments to bulk fuel vendors?</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
</tr>
<tr>
<td>8.5d Who performs installation of weatherization measures?</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
<td>Tribal Government</td>
</tr>
</tbody>
</table>

If any of your LIHEAP components are not centrally-administered by a state agency, you must complete questions 8.6, 8.7, 8.8, and, if applicable, 8.9.

8.6 What is your process for selecting local administering agencies?

- not applicable

8.7 How many local administering agencies do you use? n/a

8.8 Have you changed any local administering agencies in the last year?

- Yes
- No

8.9 If so, why?

- Agency was in noncompliance with grantee requirements for LIHEAP -
- Agency is under criminal investigation
- Added agency
- Agency closed
- Other - describe

- not applicable

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 9: Energy Suppliers, 2605(b)(7) - Assurance 7

#### 9.1 Do you make payments directly to home energy suppliers?

<table>
<thead>
<tr>
<th></th>
<th>Heating</th>
<th>Cooling</th>
<th>Crisis</th>
<th>Are there exceptions?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If yes, Describe.

Renters with utility included in their rent. Payment will be made to the Landlord.

#### 9.2 How do you notify the client of the amount of assistance paid?

A benefit letter is mailed to the household applicant when the utility payment is made.

#### 9.3 How do you assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment?

Home Energy Supplier is provided a copy of the bill (or estimate) with the notation of amount LIHEAP is paying. Household applicants are advised to watch their bill for the corresponding credit. Propane companies are advised that the applicant household is responsible for any charges in excess of the payment. Due to minimum delivery requirements, propane assistance is always provided at the maximum allowable amount of $500. It is our plan to invite Vendors served previous year to an annual vendor meeting and/or Vendors will be mailed a vendor letter which specifies ‘no household may be treated adversely because of receipt of LIHEAP funds. Promises to pay via Fax or email include similar statements.

#### 9.4 How do you assure that no household receiving assistance under this title will be treated adversely because of their receipt of LIHEAP assistance?

Vendor agreements include the assurance that no household will be treated adversely because of their receipt of LIHEAP assistance.

#### 9.5 Do you make payments contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

If so, describe the measures unregulated vendors may take.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 10: Program, Fiscal Monitoring, and Audit, 2605(b)(10)

10.1. How do you ensure good fiscal accounting and tracking of LIHEAP funds?

The Citizen Potawatomi Nation Accounting Dept. provides monthly reports regarding the availability and expenditures of funds. Internally within the Social Services Program of the Workforce & Social Services Dept. An allocation tracking report is maintained on an MS Excel spreadsheet for all requisitions. Through Accounting department the funding awards and expenditures are followed to make sure funds are expended within the allowable contract period; Vendor refunds (if any) are also entered and tracked against the award requirements. Funds are budgeted according to the grant application percentages by component. Each federal fiscal year is tracked separately.

Audit Process

10.2. Is your LIHEAP program audited annually under the Single Audit Act and OMB Circular A - 133?

☑ Yes  ☐ No

10.3. Describe any audit findings rising to the level of material weakness or reportable condition cited in the A-133 audits, Grantee monitoring assessments, inspector general reviews, or other government agency reviews of the LIHEAP agency from the most recently audited fiscal year.

No Findings

<table>
<thead>
<tr>
<th>Finding</th>
<th>Type</th>
<th>Brief Summary</th>
<th>Resolved?</th>
<th>Action Taken</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

10.4. Audits of Local Administering Agencies

What types of annual audit requirements do you have in place for local administering agencies/district offices? Select all that apply.

☑ Local agencies/district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133

☐ Local agencies/district offices are required to have an annual audit (other than A-133)

☐ Local agencies/district offices' A-133 or other independent audits are reviewed by Grantee as part of compliance process.

☐ Grantee conducts fiscal and program monitoring of local agencies/district offices

Compliance Monitoring

10.5. Describe the Grantee's strategies for monitoring compliance with the Grantee's and Federal LIHEAP policies and procedures: Select all that apply

Grantee employees:

☑ Internal program review

☑ Departmental oversight

☑ Secondary review of invoices and payments

☑ Other program review mechanisms are in place. Describe:

All applications are reviewed by the primary reviewer and then by the Workforce & Social Services Director or Assistant Director or their designee. Both the primary reviewer and the secondary reviewer signs off on complete applications. Requisitions for checks require a similar process - with two reviews and signature by initiator, departmental Director, Assistant Director, or designee. All requisitions must be processed
with backup documentation (bill, invoice, or quote). If the either reviewer has a close relationship to the applicant, this is noted and the relative or person with the close relationship is removed from the process.

Local Administering Agencies / District Offices:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>![ ]</td>
<td>On-site evaluation</td>
</tr>
<tr>
<td>![ ]</td>
<td>Annual program review</td>
</tr>
<tr>
<td>![ ]</td>
<td>Monitoring through central database</td>
</tr>
<tr>
<td>![ ]</td>
<td>Desk reviews</td>
</tr>
<tr>
<td>![ ]</td>
<td>Client File Testing / Sampling</td>
</tr>
<tr>
<td>![ ]</td>
<td>Other program review mechanisms are in place. Describe:</td>
</tr>
</tbody>
</table>

10.6 Explain, or attach a copy of your local agency monitoring schedule and protocol.

Self monitoring - each file is reviewed prior to services being rendered and again at the end of the fiscal year. The tribe is subject to the Single Audit Act. Files may be reviewed as determined by auditor.

10.7. Describe how you select local agencies for monitoring reviews.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>![ ]</td>
<td>Site Visits:</td>
</tr>
<tr>
<td>![ ]</td>
<td>not applicable</td>
</tr>
<tr>
<td>![ ]</td>
<td>Desk Reviews:</td>
</tr>
<tr>
<td>![ ]</td>
<td>not applicable</td>
</tr>
</tbody>
</table>

10.8. How often is each local agency monitored?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>![ ]</td>
<td>not applicable</td>
</tr>
</tbody>
</table>

10.9. What is the combined error rate for eligibility determinations? OPTIONAL

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>![ ]</td>
<td>not applicable</td>
</tr>
</tbody>
</table>

10.10. What is the combined error rate for benefit determinations? OPTIONAL

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>![ ]</td>
<td>not applicable</td>
</tr>
</tbody>
</table>

10.11. How many local agencies are currently on corrective action plans for eligibility and/or benefit determination issues? 0

10.12. How many local agencies are currently on corrective action plans for financial accounting or administrative issues? 0

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 11: Timely and Meaningful Public Participation, 2605(b)(12), 2605(C)(2)

11.1 How did you obtain input from the public in the development of your LIHEAP plan?
Select all that apply.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tribal Council meeting(s)</td>
<td>A public hearing was held on May 24, 2019. Additionally, outreach activities to service population includes an overview of proposed program. Special populations such as Elders have a specific outreach to their gathering place.</td>
</tr>
<tr>
<td>Public Hearing(s)</td>
<td></td>
</tr>
<tr>
<td>Draft Plan posted to website and available for comment</td>
<td></td>
</tr>
<tr>
<td>Hard copy of plan is available for public view and comment</td>
<td></td>
</tr>
<tr>
<td>Comments from applicants are recorded</td>
<td></td>
</tr>
<tr>
<td>Request for comments on draft Plan is advertised</td>
<td></td>
</tr>
<tr>
<td>Stakeholder consultation meeting(s)</td>
<td></td>
</tr>
<tr>
<td>Comments are solicited during outreach activities</td>
<td></td>
</tr>
<tr>
<td>Other - Describe:</td>
<td></td>
</tr>
</tbody>
</table>

11.2 What changes did you make to your LIHEAP plan as a result of this participation?

Increased maximum utility bill assistance to $500 (up from $400). For those who qualify, will issue AC Window units without requirement of return.

### Public Hearings, 2605(a)(2) - For States and the Commonwealth of Puerto Rico Only

11.3 List the date and location(s) that you held public hearing(s) on the proposed use and distribution of your LIHEAP funds?

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>05/24/2019</td>
<td>Posted in Local newspaper, posted at primary service office. Held come and go public hearing at Workforce &amp; Social Services and at Child Development Center. Signature list is attached.</td>
</tr>
</tbody>
</table>

11.4 How many parties commented on your plan at the hearing(s)? 169

11.5 Summarize the comments you received at the hearing(s).

Keep the same.

11.6 What changes did you make to your LIHEAP plan as a result of the comments received at the public hearing(s)?

None.
the fields provided, attach a document with said explanation here.
Section 12: Fair Hearings, 2605(b)(13) - Assurance 13

12.1 How many fair hearings did the grantee have in the prior Federal fiscal year? 0

12.2 How many of those fair hearings resulted in the initial decision being reversed? 0

12.3 Describe any policy and/or procedural changes made in the last Federal fiscal year as a result of fair hearings?

not applicable

12.4 Describe your fair hearing procedures for households whose applications are denied.

Applicants who are denied services are advised by mail. The reason for denials include: incomplete application, ineligible (non-native household, residence not within service area, previously served - received LIHEAP from another source (no duplication); or exceeds income limitations.

The Social Services assistance has been denied. A person who is dissatisfied with a decision, an action, or failure to act has the right to a hearing before the Director, or designated representative. To request a hearing do so in writing within 10 business days from the date of the letter of this notification.

12.5 When and how are applicants informed of these rights?

Posted in the lobby. Included in the application. Application signature page includes this information. the applicant is asked to read and review and then sign acknowledgement they have done so and that the information contained in the application is a true. Notification of the approval or denial includes a statement of the applicant's rights.

12.6 Describe your fair hearing procedures for households whose applications are not acted on in a timely manner.

Uniform Grievance & Appeals Procedure. The Citizen Potawatomi Nation Workforce & Social Services Program has established a uniform grievance and appeals process. The procedure issues due process and establishes a series of levels, startign with informal resolution at the staff level. The final level of appeal is to a committee including the Department Director and two other senior level tribal administrative staff. Appeals to final level must be made in writing and submitted within ten business days of the action being appealed. Participant will be notified of the determination within ten business days of the receipt of the written complaint. The levels are as follows: Step 1: Informal / verbal complaint - resolve informally at staff level. Step 2: Written Complaint: time and date received are noted, staff relays to Department Director (or Assistant Director or designee). Applicant is contacted directly. Director or Assistant Director investigates and reviews the complaint. Once determination is made the applicant is notified. Step 3: Final Formal Complaint: If unable to resolve or applicant is not satisfied with the Director's determination, a written request for Final Review may be made by the applicant. Department Director will relay all pertinent written documentation and investigatory items to senior level tribal administrative staff that includes one or more of the following: Human Resource Director, Vice-Chairman, or Tribal Chairman. Step 4: Only when the grievance specifically involves an elected official, will Step 4 be applicable. All written grievances will be received and review in accordance with the Tribal law.

12.7 When and how are applicants informed of these rights?

Posted in the lobby. Included in the application. Applicant is asked to read, review and sign their acknowledgement of understanding and accuracy of information provided with the application. The mailing which notifies approval or denial of services includes this statement.

If any of the above questions require further explanation or clarification that could not be made in
the fields provided, attach a document with said explanation here.
### Section 13: Reduction of home energy needs, 2605(b)(16) - Assurance 16

13.1 Describe how you use LIHEAP funds to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance?

Provide energy reduction tips, pamphlets, and devices that can minimize energy consumption. Offer utility payment incentives for attending the education classes.

13.2 How do you ensure that you don't use more than 5% of your LIHEAP funds for these activities?

Budget process, internal fiscal controls. Accounting procedures and computer program tracks expenditures against budget with hard stop if budgeted amount would be exceeded.

13.3 Describe the impact of such activities on the number of households served in the previous Federal fiscal year.

Measurement of the impact is difficult. However, as families have received energy reducing items as incentives for participation, we have seen households return to request more CFL light bulbs because they saw the value. When attending the Educational classes, participants have provided verbal testimony to others how they have used the tips or incentive items to lower their energy usage.

13.4 Describe the level of direct benefits provided to those households in the previous Federal fiscal year.

Education Classes were held in the Heating season, and again in the Cooling season. Participant Households receive $100 incentive paid to their respective utility company for attending and participating in the class. People who do not attend the full class are not eligible.

In the Heating Season 106 Households received the $100 incentive but 108 households attended. 158 households signed up for the class. The actual number of people who attended and received the education is higher. We only counted the households represented. All Household received a package of CFL light bulbs or other small energy saving item; therefore we are including the full number of households in this reporting line.

In the Cooling Season 137 Households received the $100 incentive but 197 households attended; 240 households signed up for the class. Again, the actual number of people who attended and received the education is higher. We only counted the households represented. All Household received a package of CFL light bulbs or other small energy saving item; therefore we are including the full number of households in this reporting line.

13.5 How many households applied for these services? 398

13.6 How many households received these services? 305

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 14: Leveraging Incentive Program, 2607(A)

14.1 Do you plan to submit an application for the leveraging incentive program?
- Yes
- No

14.2 Describe instructions to any third parties and/or local agencies for submitting LIHEAP leveraging resource information and retaining records.

- No third parties / not applicable

14.3 For each type of resource and/or benefit to be leveraged in the upcoming year that will meet the requirements of 45 C.F.R. § 96.87(d)(2)(iii), describe the following:

<table>
<thead>
<tr>
<th>Resource</th>
<th>What is the type of resource or benefit?</th>
<th>What is the source(s) of the resource?</th>
<th>How will the resource be integrated and coordinated with LIHEAP?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Direct payment to utility company</td>
<td>Tribal Hardship / Tribal Funds</td>
<td>Assistance Provided.</td>
</tr>
</tbody>
</table>

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
### Section 15: Training

15.1 Describe the training you provide for each of the following groups:

<table>
<thead>
<tr>
<th>Group</th>
<th>Form of Training</th>
<th>How Often?</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Grantee Staff</td>
<td>Formal training on grantee policies and procedures</td>
<td>Annualy</td>
</tr>
<tr>
<td></td>
<td>Other - Describe:</td>
<td>Employees are provided with policy manual</td>
</tr>
<tr>
<td></td>
<td>Other - Describe:</td>
<td>Tribal training and manual includes how applications are processed, protection of confidentiality, and the reporting and prevention of fraud.</td>
</tr>
<tr>
<td>b. Local Agencies</td>
<td>Formal training conference</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other - Describe:</td>
<td>On-site training</td>
</tr>
<tr>
<td></td>
<td>Other - Describe:</td>
<td>Employees are provided with policy manual</td>
</tr>
<tr>
<td>c. Vendors</td>
<td>Formal training conference</td>
<td></td>
</tr>
</tbody>
</table>

| How Often? |
|------------|------------|
| Annualy    | Biannually |
| As needed  | Other - Describe: |

not applicable
15.2 Does your training program address fraud reporting and prevention?

- [ ] Yes
- [x] No

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
16.1 Describe your progress toward meeting the data collection and reporting requirements of the four required LIHEAP performance measures. Include timeframes and plans for meeting these requirements and what you believe will be accomplished in the coming federal fiscal year.

n/a (tribal grantee)

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 17: Program Integrity, 2605(b)(10)

17.1 Fraud Reporting Mechanisms

a. Describe all mechanisms available to the public for reporting cases of suspected waste, fraud, and abuse. Select all that apply.

- [X] Online Fraud Reporting
- [X] Dedicated Fraud Reporting Hotline
- [X] Report directly to local agency/district office or Grantee office
- [ ] Report to State Inspector General or Attorney General
- [X] Forms and procedures in place for local agencies/district offices and vendors to report fraud, waste, and abuse
- [ ] Other - Describe:
  
  Tribal Attorney, Police and/or Court officials will be advised of any suspected waste, fraud, or abuse. Appropriate action is determined at that time and action taken.

b. Describe strategies in place for advertising the above-referenced resources. Select all that apply

- [X] Printed outreach materials
- [X] Addressed on LIHEAP application
- [ ] Website
- [ ] Other - Describe:

17.2. Identification Documentation Requirements

a. Indicate which of the following forms of identification are required or requested to be collected from LIHEAP applicants or their household members.

<table>
<thead>
<tr>
<th>Type of Identification Collected</th>
<th>Applicant Only</th>
<th>All Adults in Household</th>
<th>All Household Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Security Card is</td>
<td>Required</td>
<td>Required</td>
<td>Required</td>
</tr>
<tr>
<td>photocopied and retained</td>
<td>Requested</td>
<td>Requested</td>
<td>Requested</td>
</tr>
<tr>
<td>Social Security Number (Without</td>
<td>Requested</td>
<td>Requested</td>
<td>Requested</td>
</tr>
<tr>
<td>actual Card)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Government-issued identification</td>
<td>Requested</td>
<td>Requested</td>
<td>Requested</td>
</tr>
</tbody>
</table>
### 17.3 Identification Verification

Describe what methods are used to verify the authenticity of identification documents provided by clients or household members. Select all that apply.

- [ ] Verify SSNs with Social Security Administration
- [ ] Match SSNs with death records from Social Security Administration or state agency
- [ ] Match SSNs with state eligibility/case management system (e.g., SNAP, TANF)
- [ ] Match with state Department of Labor system
- [ ] Match with state and/or federal corrections system
- [ ] Match with state child support system
- [ ] Verification using private software (e.g., The Work Number)
- [x] In-person certification by staff (for tribal grantees only)
- [ ] Match SSN/Tribal ID number with tribal database or enrollment records (for tribal grantees only)
- [ ] Other - Describe:

### 17.4 Citizenship/Legal Residency Verification

What are your procedures for ensuring that household members are U.S. citizens or aliens who are qualified to receive LIHEAP benefits? Select all that apply.

- [x] Clients sign an attestation of citizenship or legal residency
- [x] Client’s submission of Social Security cards is accepted as proof of legal residency
- [ ] Noncitizens must provide documentation of immigration status
- [ ] Citizens must provide a copy of their birth certificate, naturalization papers, or passport
- [ ] Noncitizens are verified through the SAVE system
- [x] Tribal members are verified through Tribal enrollment records/Tribal ID card
- [ ] Other - Describe:

### 17.5 Income Verification

What methods does your agency utilize to verify household income? Select all that apply.

- [x] Require documentation of income for all adult household members
  - [ ] Pay stubs
  - [x] Social Security award letters
  - [ ] Bank statements
  - [ ] Tax statements
  - [ ] Zero-income statements
  - [ ] Unemployment Insurance letters
  - [ ] Other - Describe:
Self-certification statements with notarized signatures are accepted if unable to provide other documentation of income as noted in the list above. (ex: pay stubs from some online pay system is frequently difficult to obtain); award/benefit letters - retirement, pensions, per capita, etc.

Computer data matches:

- Income information matched against state computer system (e.g., SNAP, TANF)
- Proof of unemployment benefits verified with state Department of Labor
- Social Security income verified with SSA
- Utilize state directory of new hires

Other - Describe:

17.6. Protection of Privacy and Confidentiality

Describe the financial and operating controls in place to protect client information against improper use or disclosure. Select all that apply.

- Policy in place prohibiting release of information without written consent
- Grantee LIHEAP database includes privacy/confidentiality safeguards
- Employee training on confidentiality for:
  - Grantee employees
  - Local agencies/district offices
- Employees must sign confidentiality agreement
  - Grantee employees
  - Local agencies/district offices
- Physical files are stored in a secure location

Other - Describe:

17.7. Verifying the Authenticity

What policies are in place for verifying vendor authenticity? Select all that apply.

- All vendors must register with the State/Tribe.
- All vendors must supply a valid SSN or TIN/W-9 form
- Vendors are verified through energy bills provided by the household
- Grantee and/or local agencies/district offices perform physical monitoring of vendors

Other - Describe and note any exceptions to policies above:

17.8. Benefits Policy - Gas and Electric Utilities

What policies are in place to protect against fraud when making benefit payments to gas and electric utilities on behalf of clients? Select all that apply.

- Applicants required to submit proof of physical residency
- Applicants must submit current utility bill
- Data exchange with utilities that verifies:
  - Account ownership
  - Consumption
  - Balances
  - Payment history
  - Account is properly credited with benefit
    - authorized user on the said account
- Centralized computer system/database tracks payments to all utilities
- Centralized computer system automatically generates benefit level
<table>
<thead>
<tr>
<th>Completion Status</th>
<th>Benefits Policy - Bulk Fuel Vendors</th>
</tr>
</thead>
<tbody>
<tr>
<td>✓</td>
<td>What procedures are in place for averting fraud and improper payments when dealing with bulk fuel suppliers of heating oil, propane, wood, and other bulk fuel vendors? Select all that apply.</td>
</tr>
<tr>
<td>✓</td>
<td>Vendors are checked against an approved vendors list</td>
</tr>
<tr>
<td>✓</td>
<td>Centralized computer system/database is used to track payments to all vendors</td>
</tr>
<tr>
<td>✓</td>
<td>Clients are relied on for reports of non-delivery or partial delivery</td>
</tr>
<tr>
<td>✓</td>
<td>Two-party checks are issued naming client and vendor</td>
</tr>
<tr>
<td>✓</td>
<td>Direct payment to households are made in limited cases only</td>
</tr>
<tr>
<td>✓</td>
<td>Vendors are only paid once they provide a delivery receipt signed by the client</td>
</tr>
<tr>
<td>✓</td>
<td>Conduct monitoring of bulk fuel vendors</td>
</tr>
<tr>
<td>✓</td>
<td>Bulk fuel vendors are required to submit reports to the Grantee</td>
</tr>
<tr>
<td>✓</td>
<td>Vendor agreements specify requirements selected above, and provide enforcement mechanism</td>
</tr>
<tr>
<td>✓</td>
<td>Other - Describe:</td>
</tr>
<tr>
<td></td>
<td>Clients are required to provide an 'estimated bill' which includes client account number. Once approved, the bulk vendor is notified by fax of 'promise to pay'. The statement reads: CPN will be paying the amount indicated above. The client will be responsible for any additional charges that may be incurred. The client is also notified of the amount authorized. It is the client responsibility to report non-delivery or partial delivery of the bulk fuel which was authorized and paid by CPN. To be a vendor, a W-9 is required with Tax Identification Number provided. This is checked against the TIN system.</td>
</tr>
</tbody>
</table>

17.10. Investigations and Prosecutions

Describe the Grantee's procedures for investigating and prosecuting reports of fraud, and any sanctions placed on clients/staff/vendors found to have committed fraud. Select all that apply.

- Refer to state Inspector General
- Refer to local prosecutor or state Attorney General
- Refer to US DHHS Inspector General (including referral to OIG hotline)
- Local agencies/district offices or Grantee conduct investigation of fraud complaints from public
- Grantee attempts collection of improper payments. If so, describe the recoupment process

  - Tribal Police, Attorney, and/or Court officials are advised of suspected fraud. Grantee attempts to collect improper payments directly. If unsuccessful, it is turned over the court system and tribal police.

  - If determination is made that fraud has occurred, clients are banned for a minimum of 1 year up to 3 years.

- Clients found to have committed fraud are banned from LIHEAP assistance. For how long is a household banned? minimum 1 year not to exceed 3 years
- Contracts with local agencies require that employees found to have committed fraud are reprimanded and/or terminated
- Vendors found to have committed fraud may no longer participate in LIHEAP

- Other - Describe:

  - Tribal Police, Attorney, and/or Court officials are advised of suspected fraud. Grantee attempts to collect improper payments directly. If unsuccessful, it is turned over the court system and tribal police.

  - If determination is made that fraud has occurred, clients are banned for a minimum of 1 year up to 3 years.
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.
Section 18: Certification Regarding Debarment, Suspension, and Other Responsibility Matters

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction,"
provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an
Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled “Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transaction,” without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require
establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion—Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

☑ By checking this box, the prospective primary participant is providing the certification set out above.
This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central pint is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.

2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. For grantees other than individuals, Alternate I applies.

4. For grantees who are individuals, Alternate II applies.

5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).

7. If the workplace identified to the agency changes during the performance of
the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)
The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
(b) Establishing an ongoing drug-free awareness program to inform employees about --
   (1) The dangers of drug abuse in the workplace;
   (2) The grantee's policy of maintaining a drug-free workplace;
   (3) Any available drug counseling, rehabilitation, and employee assistance
programs; and
(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
(1) Abide by the terms of the statement; and
(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
(e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Citizen Potawatomi Nation
* Address Line 1

Workforce & Social Services
Address Line 2

1549 Workforce Drive
Address Line 3

Shawnee ok 74801
* City * State * Zip Code

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

☑️ By checking this box, the prospective primary participant is providing the certification set out above.
The submitter of this application certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or
entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

☑️ By checking this box, the prospective primary participant is providing the certification set out above.
(1) use the funds available under this title to--

   (A) conduct outreach activities and provide assistance to low income households in meeting their home energy costs, particularly those with the lowest incomes that pay a high proportion of household income for home energy, consistent with paragraph (5);

   (B) intervene in energy crisis situations;

   (C) provide low-cost residential weatherization and other cost-effective energy-related home repair; and

   (D) plan, develop, and administer the State’s program under this title including leveraging programs, and the State agrees not to use such funds for any purposes other than those specified in this title;

(2) make payments under this title only with respect to--

   (A) households in which one or more individuals are receiving--

       (i) assistance under the State program funded under part A of title IV of the Social Security Act;

       (ii) supplemental security income payments under title XVI of the Social Security Act;

       (iii) food stamps under the Food Stamp Act of 1977; or

       (iv) payments under section 415, 521, 541, or 542 of title 38, United States Code, or under section 306 of the Veterans’ and Survivors’ Pension Improvement Act of 1978; or

   (B) households with incomes which do not exceed the greater of -

       (i) an amount equal to 150 percent of the poverty level for such State; or

       (ii) an amount equal to 60 percent of the State median income;

(except that a State may not exclude a household from eligibility in a fiscal year solely on the basis of household income if such income is less than 110 percent of the poverty level for such State, but the State may give priority to those households with the highest home energy costs or needs in relation to household income.

(3) conduct outreach activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals, or both, and households with high home energy burdens, are made aware of the assistance available under this title, and any similar energy-related assistance available under subtitle B of title VI (relating to community services block grant
program) or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(4) coordinate its activities under this title with similar and related programs administered by the Federal Government and such State, particularly low-income energy-related programs under subtitle B of title VI (relating to community services block grant program), under the supplemental security income program, under part A of title IV of the Social Security Act, under title XX of the Social Security Act, under the low-income weatherization assistance program under title IV of the Energy Conservation and Production Act, or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(5) provide, in a timely manner, that the highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income, taking into account family size, except that the State may not differentiate in implementing this section between the households described in clauses 2(A) and 2(B) of this subsection;

(6) to the extent it is necessary to designate local administrative agencies in order to carry out the purposes of this title, to give special consideration, in the designation of such agencies, to any local public or private nonprofit agency which was receiving Federal funds under any low-income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that -

   (A) the State shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the State; and

   (B) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the State shall give special consideration in the designation of local administrative agencies to any successor agency which is operated in substantially the same manner as the predecessor agency which did receive funds for the fiscal year preceding the fiscal year for which the determination is made;

(7) if the State chooses to pay home energy suppliers directly, establish procedures to --

   (A) notify each participating household of the amount of assistance paid on its behalf;

   (B) assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment made by the State under this title;

   (C) assure that the home energy supplier will provide assurances that any agreement entered into with a home energy supplier under this paragraph will
contain provisions to assure that no household receiving assistance under this title will be treated adversely because of such assistance under applicable provisions of State law or public regulatory requirements; and

(D) ensure that the provision of vendor payments remains at the option of the State in consultation with local grantees and may be contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households, including providing for agreements between suppliers and individuals eligible for benefits under this Act that seek to reduce home energy costs, minimize the risks of home energy crisis, and encourage regular payments by individuals receiving financial assistance for home energy costs;

(8) provide assurances that,

(A) the State will not exclude households described in clause (2)(B) of this subsection from receiving home energy assistance benefits under clause (2), and

(B) the State will treat owners and renters equitably under the program assisted under this title;

(9) provide that--

(A) the State may use for planning and administering the use of funds under this title an amount not to exceed 10 percent of the funds payable to such State under this title for a fiscal year; and

(B) the State will pay from non-Federal sources the remaining costs of planning and administering the program assisted under this title and will not use Federal funds for such remaining cost (except for the costs of the activities described in paragraph (16));

(10) provide that such fiscal control and fund accounting procedures will be established as may be necessary to assure the proper disbursal of and accounting for Federal funds paid to the State under this title, including procedures for monitoring the assistance provided under this title, and provide that the State will comply with the provisions of chapter 75 of title 31, United States Code (commonly known as the "Single Audit Act");

(11) permit and cooperate with Federal investigations undertaken in accordance with section 2608;

(12) provide for timely and meaningful public participation in the development of the plan described in subsection (c);

(13) provide an opportunity for a fair administrative hearing to individuals whose claims for assistance under the plan described in subsection (c) are denied or are not acted upon with reasonable promptness; and

(14) cooperate with the Secretary with respect to data collecting and reporting under section 2610.
(15) * beginning in fiscal year 1992, provide, in addition to such services as may be offered by State Departments of Public Welfare at the local level, outreach and intake functions for crisis situations and heating and cooling assistance that is administered by additional State and local governmental entities or community-based organizations (such as community action agencies, area agencies on aging and not-for-profit neighborhood-based organizations), and in States where such organizations do not administer functions as of September 30, 1991, preference in awarding grants or contracts for intake services shall be provided to those agencies that administer the low-income weatherization or energy crisis intervention programs.

* This assurance is applicable only to States, and to territories whose annual regular LIHEAP allotments exceed $200,000. Neither territories with annual allotments of $200,000 or less nor Indian tribes/tribal organizations are subject to Assurance 15.

(16) use up to 5 percent of such funds, at its option, to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance, including needs assessments, counseling, and assistance with energy vendors, and report to the Secretary concerning the impact of such activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.
Plan Attachments

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<th>PLAN ATTACHMENTS</th>
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<tr>
<td>The following documents must be attached to this application</td>
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<tr>
<td>• Delegation Letter is required if someone other than the Governor or Chairman Certified this Report.</td>
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<tr>
<td>• Heating component benefit matrix, if applicable</td>
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<td>• Minutes, notes, or transcripts of public hearing(s).</td>
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